

# **A Simple Theoretical Model of Importance for Summarization**

Maxime Peyrard

ACL19 Outstanding Paper

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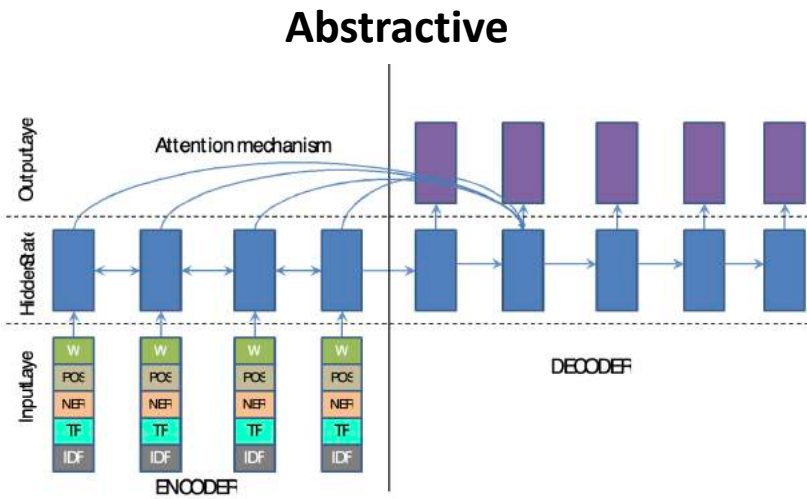
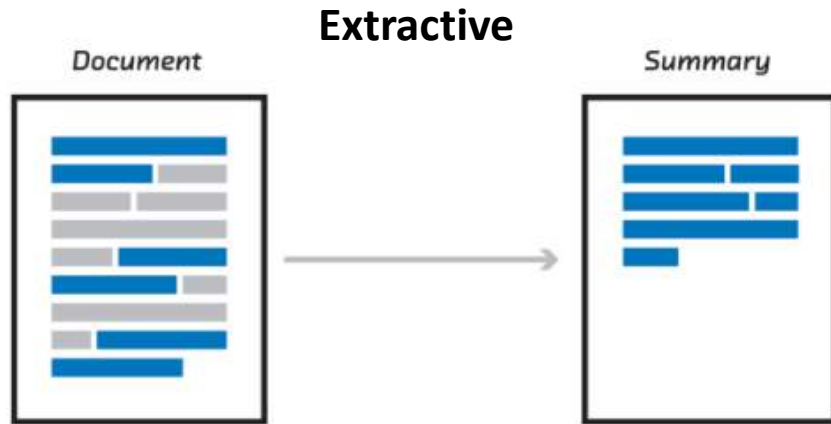
## 2019

- [pdf](#) [bib](#) [abs](#) **A Simple Theoretical Model of Importance for Summarization**  
Maxime Peyrard  
Proceedings of the 57th Annual Meeting of the Association for Computational Linguistics
- [pdf](#) [bib](#) [abs](#) **Studying Summarization Evaluation Metrics in the Appropriate Scoring Range**  
Maxime Peyrard  
Proceedings of the 57th Annual Meeting of the Association for Computational Linguistics
- [pdf](#) [bib](#) [abs](#) **MoverScore: Text Generation Evaluating with Contextualized Embeddings and Earth Mover Distance**  
Wei Zhao | Maxime Peyrard | Fei Liu | Yang Gao | Christian M. Meyer | Steffen Eger  
Proceedings of the 2019 Conference on Empirical Methods in Natural Language Processing and the 9th International Joint Conference on Natural Language Processing (EMNLP-IJCNLP)

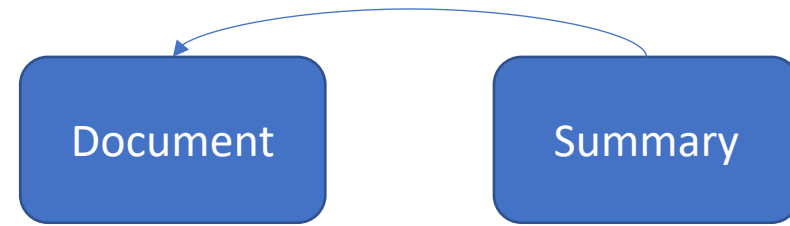
## 2018

- [pdf](#) [bib](#) **Live Blog Corpus for Summarization**  
Avinesh P.V.S. | Maxime Peyrard | Christian M. Meyer  
Proceedings of the Eleventh International Conference on Language Resources and Evaluation (LREC 2018)
- [pdf](#) [bib](#) [abs](#) **Objective Function Learning to Match Human Judgements for Optimization-Based Summarization**  
Maxime Peyrard | Iryna Gurevych  
Proceedings of the 2018 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies, Volume 2 (Short Papers)

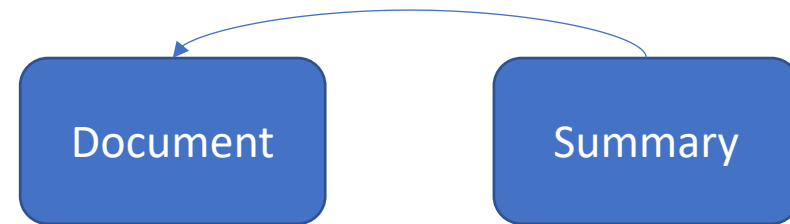
# Overview



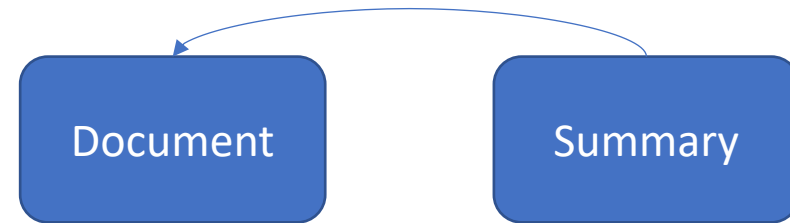
ROUGE



BLEU



**Importance**



# Summarization

Summarization is the process of **identifying the most important information** from a source to **produce a comprehensive output** for a particular user and task.

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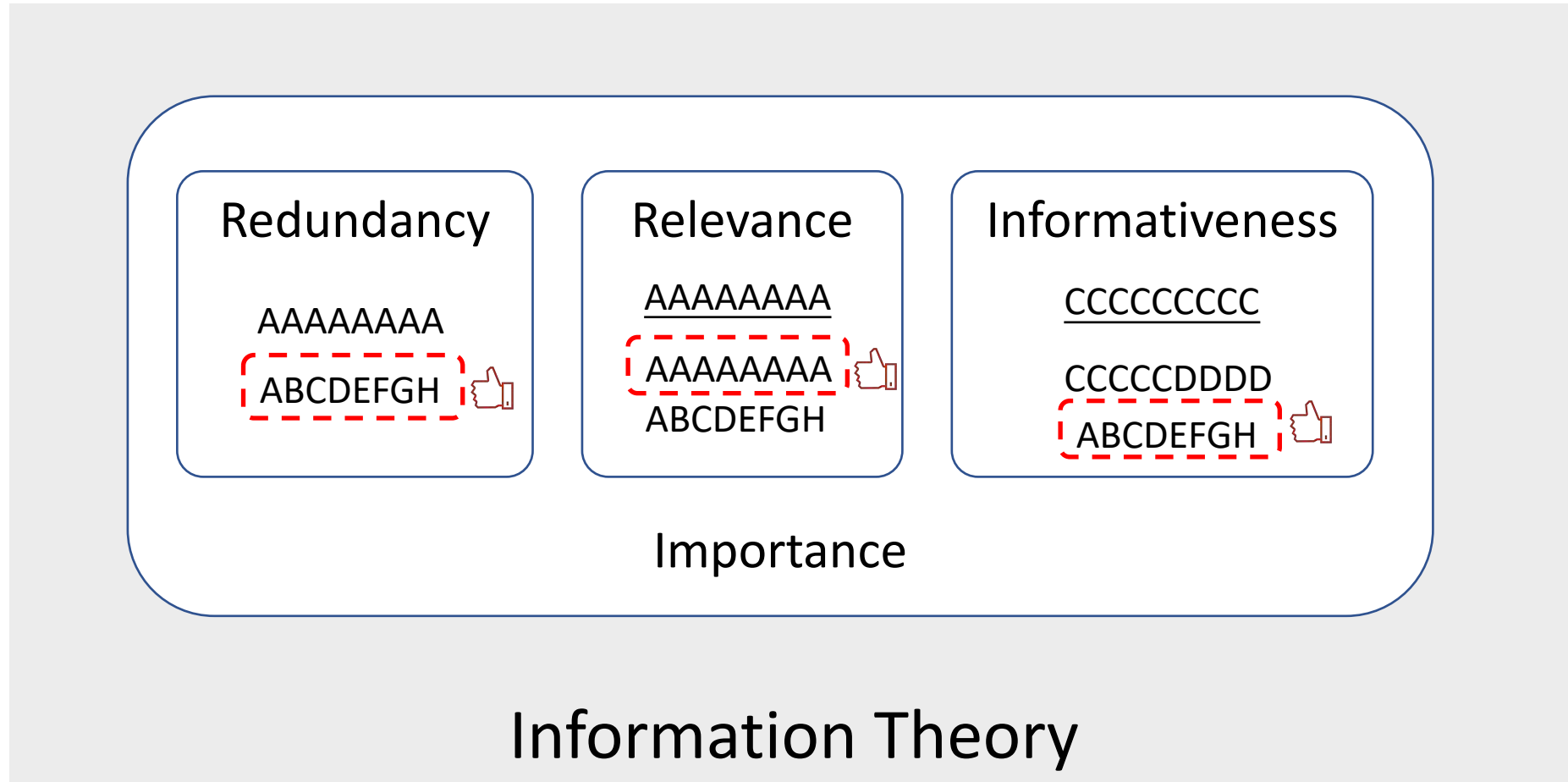


The core challenge of summarization



Natural Language Generation

# Overview



# Information theory

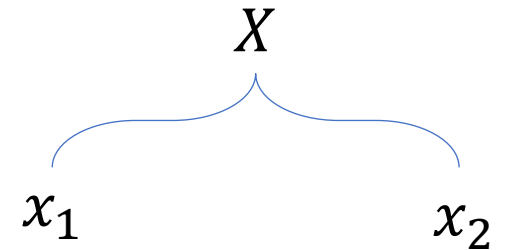
- Entropy for event

$$H(X) = - \sum_{i=1}^n p(x_i) \log(p(x_i))$$

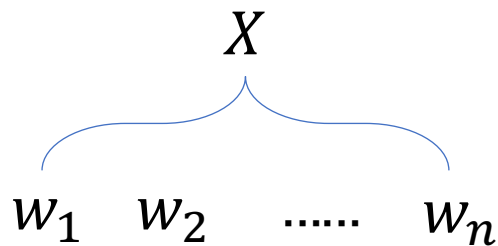
$X =$  抛一枚硬币

e.g.  $x_1 =$  正面朝上

$x_2 =$  反面朝上



- Entropy for text  $X = w_1, w_2, \dots, w_n$



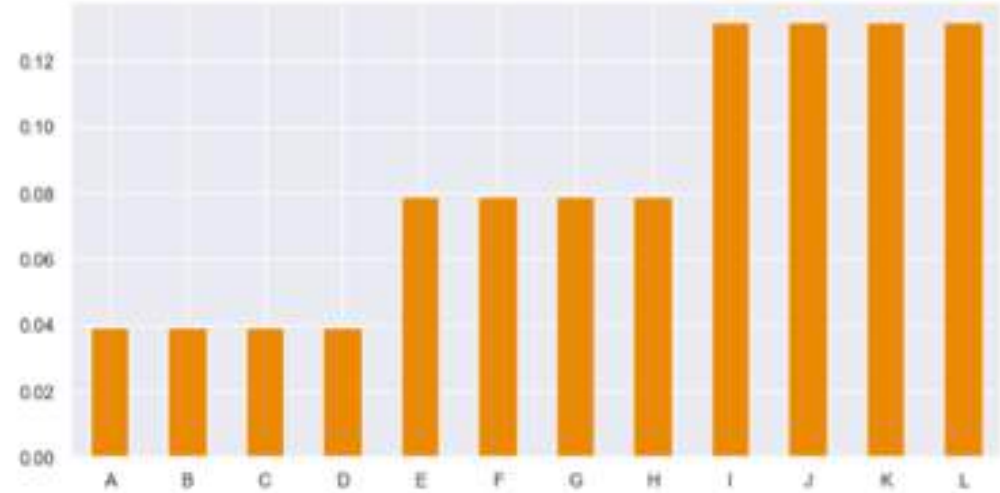
$$p(X) = p(w_1)p(w_2) \cdots p(w_n)$$

$$H(X) = - \sum_{i=1}^n p(w_i) \log(p(w_i))$$

Semantic unit

# Semantic Units $\Omega$

- Atomic piece of information  $\Omega$
- Words
- Characters
- BPE
- Topic models
- Frame semantics
- .....



$$H(X) = - \sum_{i=1}^n p(\omega_i) \log(p(\omega_i))$$

Semantic unit

- $X$  can be represented by a probability distribution  $\mathbb{P}_X$  over the semantic units  $\Omega$ .



# Notation

- Semantic Unit  $\omega_i \in \Omega$
- Source document(s)  $D, \mathbb{P}_D$
- Candidate summary  $S, \mathbb{P}_S$

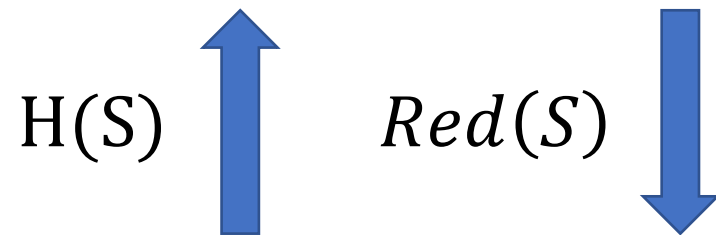
# Redundancy

- A summary should contain a lot of information.
- For a summary  $S$  represented by  $\mathbb{P}_S$  :

$$H(S) = - \sum_{\omega_i} \mathbb{P}_S(\omega_i) \log(\mathbb{P}_S(\omega_i))$$

- Redundancy

$$Red(S) = -H(S)$$



Redundancy

AAAAAAA

ABCDEF GH 


# Redundancy in Previous Works

- Maximum coverage
- MMR (Maximal marginal relevance)
  - The selected sentence is the most important one amongst the remaining sentences and it has the **least content overlap** with the current summary.
- Submodular functions
  - Reward diversity. Reward a higher score when picking a sentence that is not too similar to the summary set.

# Relevance

Relevance

AAAAAAAAA


AAAAAAAAA 


ABCDEFGH

- Intuitively, observing a summary should reduce our uncertainty about the original text.

$$Rel(S, D) = -CE(S, D)$$

$$Rel(S, D) = \sum_{\omega_i} \mathbb{P}_S(\omega_i) \log(\mathbb{P}_D(\omega_i))$$

$CE(S, D)$  

$Rel(S, D)$  

# Informativeness

- Intuitively, a summary is informative if it induces, for a user, a great change in her knowledge about the world.
- $K$  the background knowledge  $\mathbb{P}_K$


$$\text{Inf}(S, K) = \text{CE}(S, K)$$

$$\text{Inf}(S, K) = - \sum_{\omega_i} \mathbb{P}_S(\omega_i) \log(\mathbb{P}_K(\omega_i))$$

Informativeness

CCCCCCCC

CCCCDDDD

ABCDEFGH 

The diagram illustrates informativeness by comparing two strings. The first string, 'CCCCCCCC', is underlined. The second string, 'CCCCDDDD', has 'ABCDEFGH' highlighted with a red dashed box and a thumbs-up icon next to it, indicating that the second string is more informative because it contains more novel information relative to the background knowledge.

# Importance

$$Red(S) = -H(S)$$

$$Rel(S, D) = -CE(S, D)$$

$$Inf(S, K) = CE(S, K)$$

# Importance

 $D \quad K$ 

Source Document  
Background knowledge

 $\Omega = \omega_1 \ \omega_2, \dots, \omega_n$ 

Semantic Units

 $\mathbb{P}_D \quad \mathbb{P}_K$ 

Distribution

 $d_i = \mathbb{P}_D(\omega_i) \quad k_i = \mathbb{P}_K(\omega_i)$ 

For one unit  $\omega_i$

 $f(d_i, k_i)$ 

Importance of unit  $\omega_i$

$$f(d_i, k_i)$$

$$d_i = d_j \quad k_i > k_j$$



$$f(d_i, k_i) < f(d_j, k_j)$$

Informativeness

$$k_i = k_j \quad d_i > d_j$$



$$f(d_i, k_i) > f(d_j, k_j)$$

Relevance

$$I(f(d_i, k_i)) = \alpha I(d_i) + \beta I(k_i)$$

Additivity

$$\sum_i f(d_i, k_i) = 1$$

Normalization

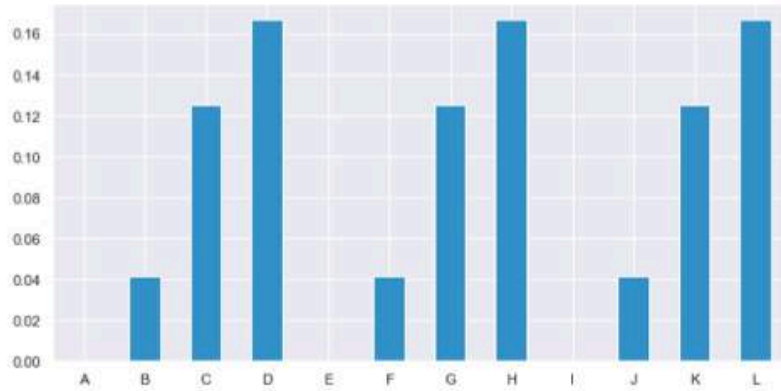


$f(d_i, k_i)$

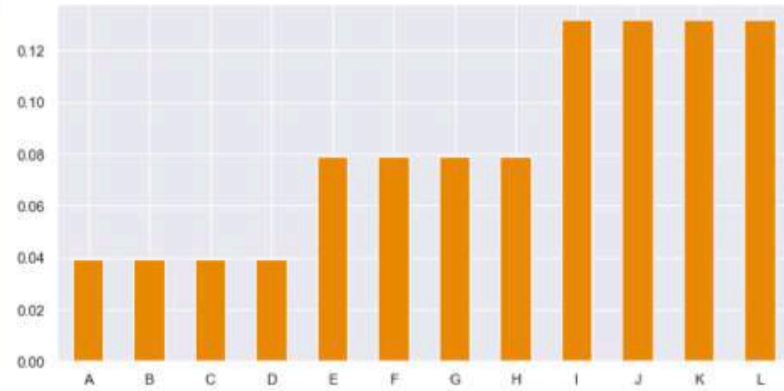
$$\mathbb{P}_{\frac{D}{\bar{K}}}(\omega_i) = \frac{1}{C} \cdot \frac{d_i^\alpha}{k_i^\beta}$$

$$C = \sum_i \frac{d_i^\alpha}{k_i^\beta}, \alpha, \beta \in \mathbb{R}^+$$

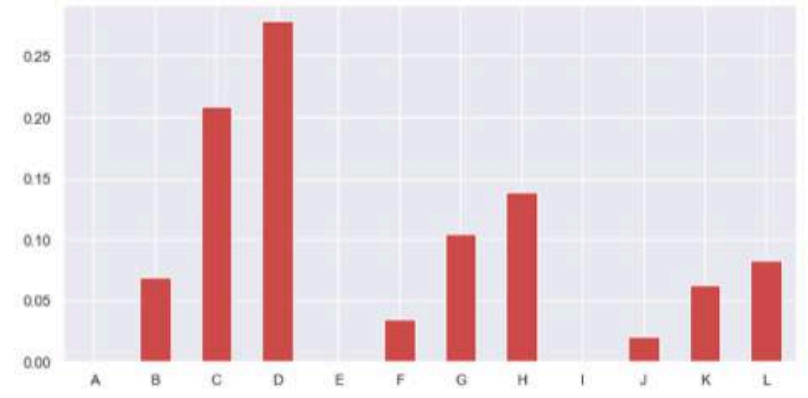
$$\mathbb{P}_{\frac{D}{K}}$$



(a) ditribution  $\mathbb{P}_D$



(b) distribution  $\mathbb{P}_K$



(c) distribution  $\mathbb{P}_{\frac{D}{K}}$

# Summary scoring function

$$S \longrightarrow \mathbb{P}_{\frac{D}{K}}$$

$$Red(S) = -H(S)$$

$$\theta_I(S, D, K) = -KL\left(\mathbb{P}_S \parallel \mathbb{P}_{\frac{D}{K}}\right) = -CE\left(\mathbb{P}_S \parallel \mathbb{P}_{\frac{D}{K}}\right) + H(S)$$

$$S^* = \operatorname{argmax}_S \theta_I = \operatorname{argmin}_S KL\left(\mathbb{P}_S \parallel \mathbb{P}_{\frac{D}{K}}\right)$$

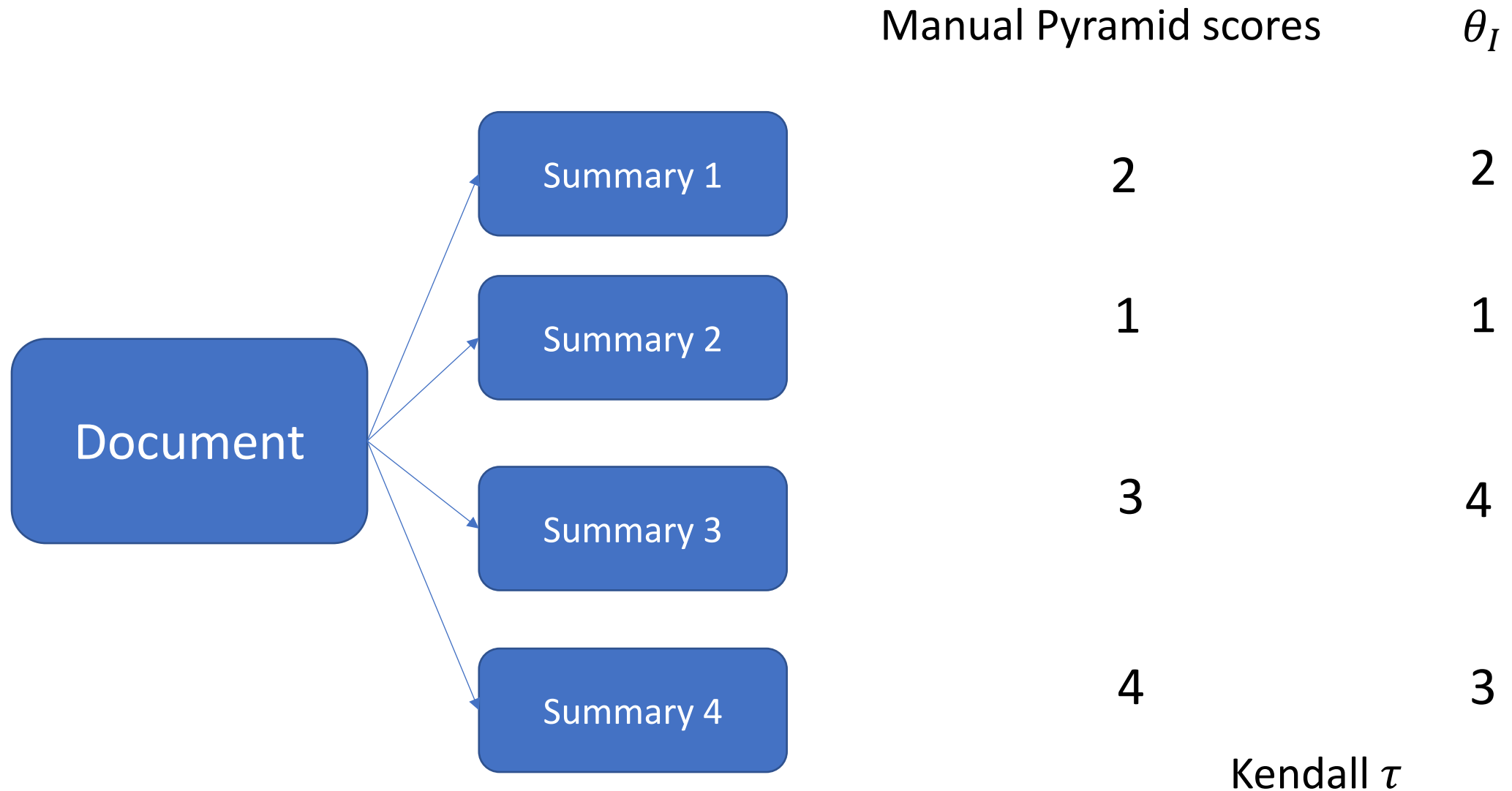
# Experiments

- TAC-2008 and TAC-2009
- Generic multi-document summarization
  - A documents (10 documents ) --> Summary
- Update multi-document summarization
  - Given A documents (10 documents )
  - B documents (10 documents ) --> Summary

# Setup and Assumptions

- semantic units : words
- For update summarization,  $K$  is the frequency distribution over words in the background documents (A).
- For generic summarization,  $K$  is the uniform probability distribution
- $\alpha = \beta = 1$

# Correlation with humans



# Result

	Generic	Update
ICSI	.178	.139
Edm.	.215	.205
LexRank	.201	.164
KL	.204	.176
JS	.225	.189
KL <sub>back</sub>	.110	.167
JS <sub>back</sub>	.066	.187
Red	.098	.096
Rel	.212	.192
Inf	.091	.086
$\theta_I$	<b>.294</b>	<b>.211</b>

# Example

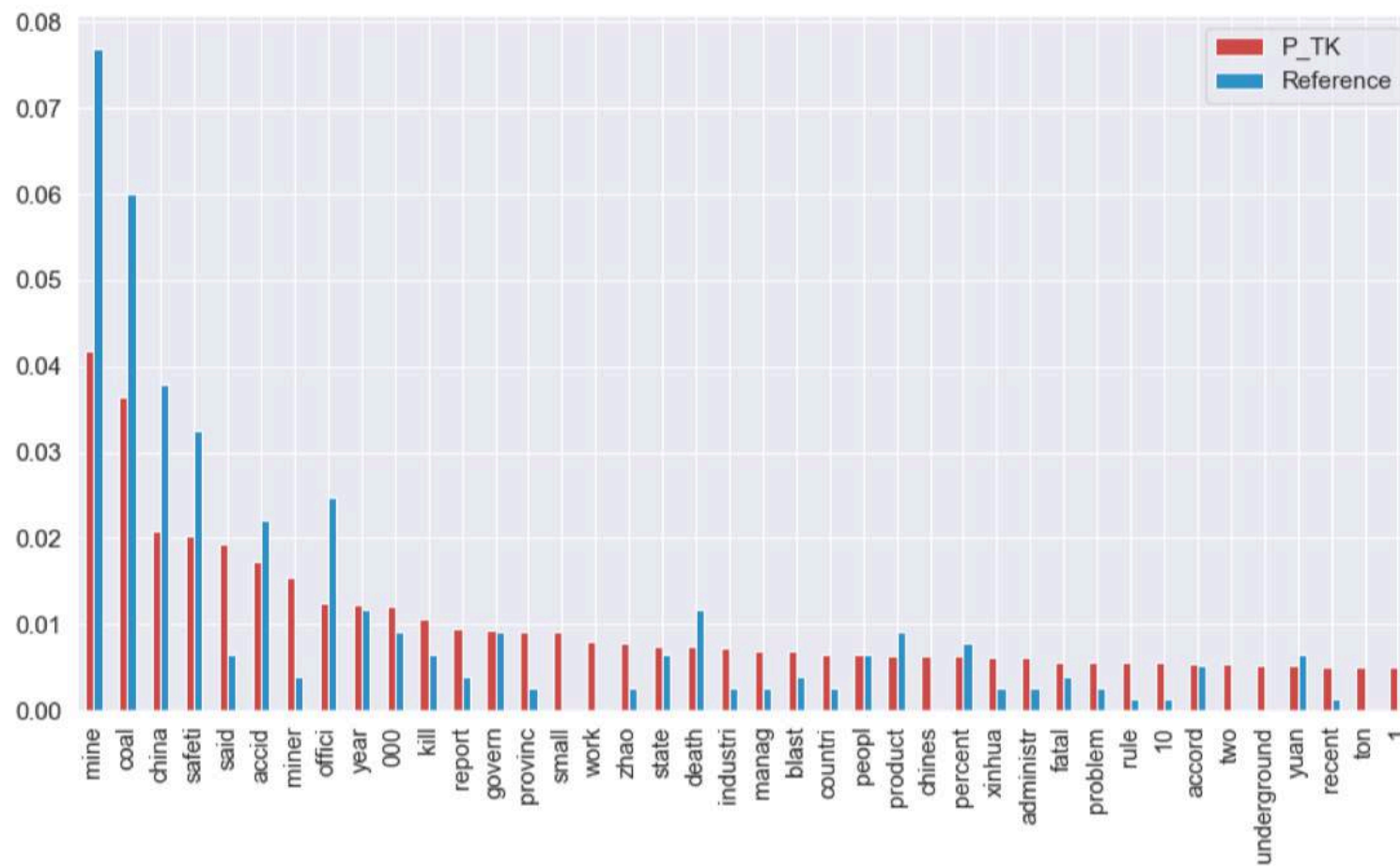


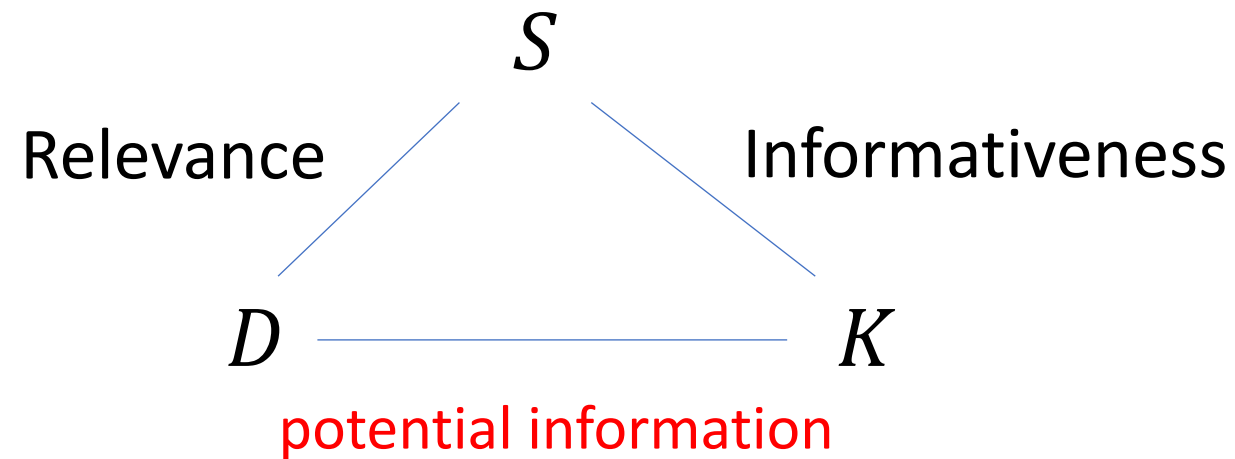
Figure 2: Example of  $\mathbb{P}_D^K$  in comparison to the word distribution of reference summaries for one topic of TAC-2008 (D0803).



$$H(\mathbb{P}_{\overline{K}}^D)$$

- Measures the number of possibly good summaries.
- Low : little uncertainty about which semantic units to extract (few possible good summaries).
- High : many equivalently good summaries are possible

# Potential Information



$$PI(D, K) = CE(D, K)$$

**Thanks!**