# ChatGPT Evaluation for NLP A Meta Survey

Xiachong Feng Update: 2023.3.13

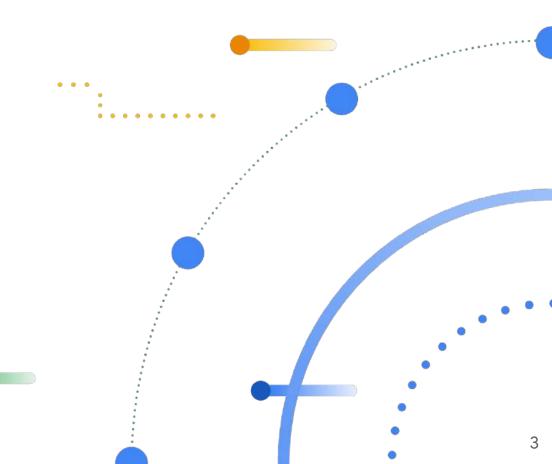


## Agenda

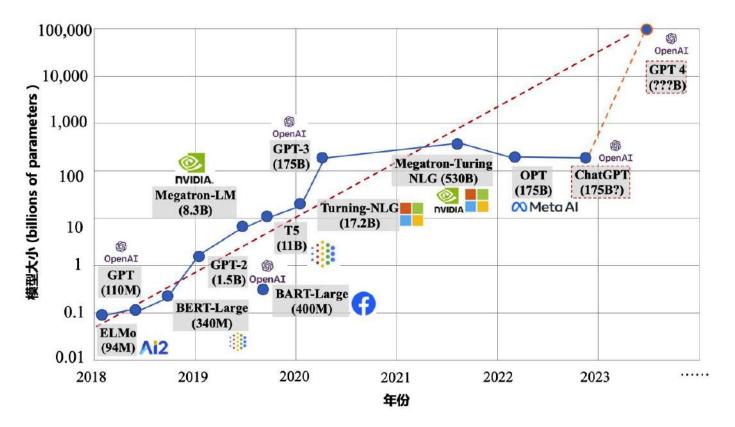
- Introduction
- Social Media
- NLP Tasks
  - Summarization
  - Natural Language Generation Evaluation
  - Information Extraction
  - Machine Translation
  - Data Augmentation
- ChatGPT Failures
- Conclusion

# Introduction

- Big-LLMs
- Instruction-tuning
- ChatGPT



## Introduction: Big-LLMs



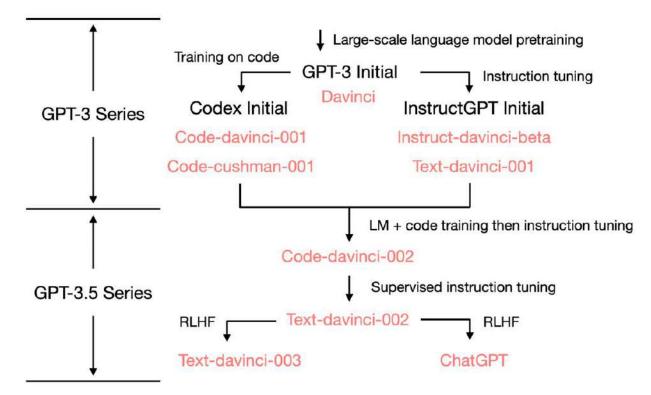
## **Introduction: Instruction-Tuning**

- 2021.09.03 [FLAN] Finetuned Language Models Are Zero-Shot Learners
- 2021.10.15 [T0] Multitask Prompted Training Enables Zero-Shot Task Generalization
- 2022.01.28 [CoT] Chain of Thought Prompting Elicits Reasoning in Large Language Models
- 2022.03.04 [InstructGPT] Training language models to follow instructions with human feedback
- 2022.04.16 [SUPER-NATURALINSTRUCTIONS] Super-NaturalInstructions: Generalization via Declarative Instructions on 1600+ NLP Tasks
- 2022.05.24 [Zero-shot CoT] Large Language Models are Zero-Shot Reasoners
- 2022.10.20 [FLAN-T5] Scaling Instruction-Finetuned Language Models
  - [mT0] Crosslingual Generalization through Multitask Finetuning
- 2022.11.30 [ 🔥 🔥 ChatGPT] Introducing ChatGPT
- 2023.02.27 [LLaMA] LLaMA: Open and Efficient Foundation Language Models 🚫



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## Introduction: ChatGPT



https://yaofu.notion.site/How-does-GPT-Obtain-its-Ability-Tracing-Emergent-Abilities-of-Language-Models-to-their-Sources-b9a57ac0fcf74f30a1ab9e3e36fa1dc1

# Social Media: Twitter

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• ChatGPT: A Meta-Analysis after 2.5 Months 2023-2-20

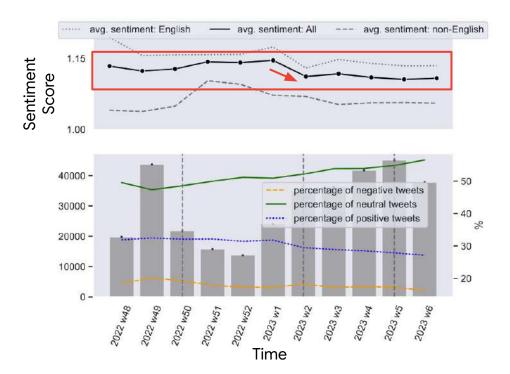
- **Aim:** acquire insights into public opinion and sentiment on ChatGPT and understand public attitudes toward different topics related to ChatGPT.
- The dataset contains tweets across 61 languages. Over 68% of them are in English, other major languages are Japanese (6.4%), Spanish (5.3%), French (5.0%), and German (3.3%).

#ChatGPT Attribute	Detail	X  English  Sentim Tweets $ Analyse$
date range	2022-11-30 to 2023-02-09	
number of tweets	334,808	Sentiment Number of tweets
language counts	61	Positive 100,163
English tweets	228127	Neutral 174,684
number of users	168,111	Negative 59,961

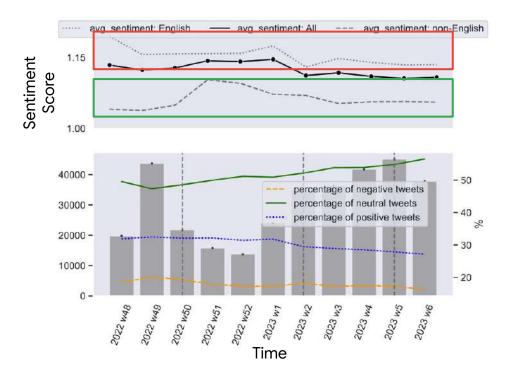
Table 1: Information of the collected Dataset

Table 2: Sentiment Distribution of all tweets.

there is a relatively large proportion of positive sentiment, with 100k instances, and a smaller but still notable number of tweets of negative sentiments, with 60k instances.

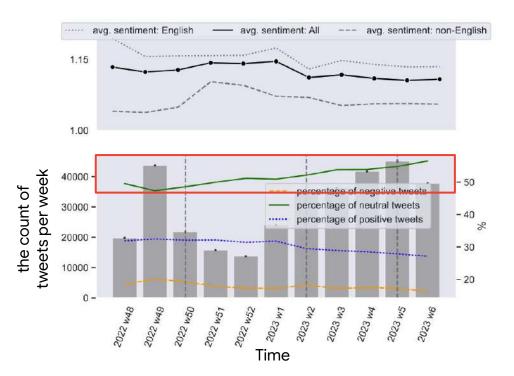


Observe an overall downward trend of sentiment (black solid line) during the course of ChatGPT's first 2.5 months: an initial rise in average sentiment was followed by a decrease from January 2023 onwards.



Observe an overall downward trend of sentiment (black solid line) during the course of ChatGPT's first 2.5 months: an initial rise in average sentiment was followed by a decrease from January 2023 onwards.

Overall tweets in English have a more positive perception of ChatGPT. This suggests that ChatGPT may be better in English, which constituted the majority of its training data; but see also our topic-based analysis below.

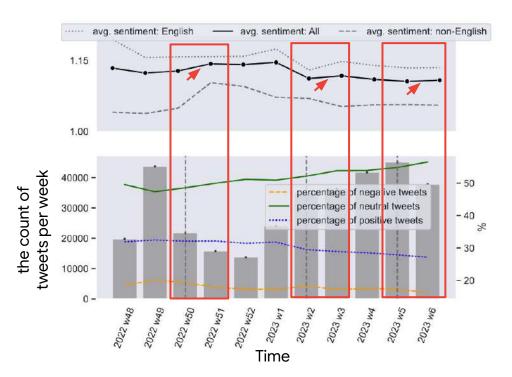


While the percentage of negative tweets is stable over time, the percentage of positive tweets decreases and there is a clear increase in tweets with the neutral sentiment.

This may indicate that the public view of ChatGPT is becoming more rational after an initial hype of this new "seemingly omnipotent" bot







During the course of 2.5 months after ChatGPT's debut, OpenAl announced 5 new releases claiming various updates.

Our data covers the period of the first three releases on the 15th of December 2022, the 9th of January, and the 3rd of January in 2023.

## Sentiment across Language

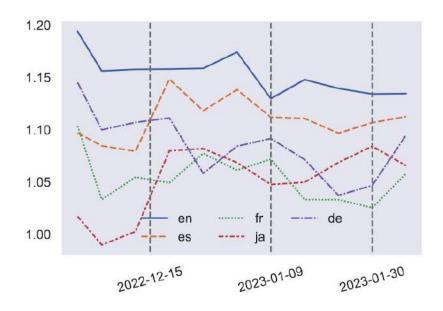


Figure 2: Weekly sentiment distribution averaged per language

Tweets in English have the most positive view of ChatGPT

The sentiment of English, German, and French tweets are trending downward while Spanish and Japanese tweets start from a low point and trend upwards.

## Sentiment across Topic

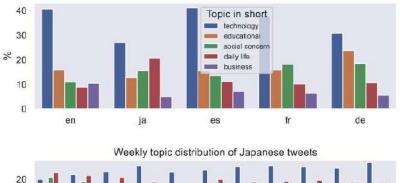


Figure 3: Upper: topic distribution per language. Lower: topic distribution over time for Japanese tweets. 5 major classes, which cover 86.3% of tweets in our dataset: science & technology (38.6%), learning & educational (15.2%), news & social concern (13.0%), diaries & daily life (10.2%), and business & entrepreneurs (9.3%).

The share of science & technology topic ranks the highest in all of the 5 languages.

## Sentiment across Topic

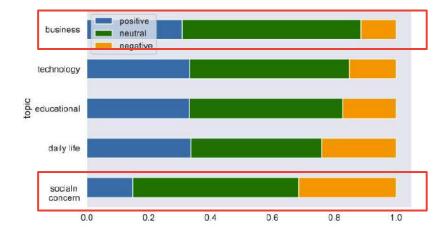


Figure 4: Sentiment distribution per topic.

business & entrepreneurs has the lowest proportion of negative tweets while the topic news & social concern contains the highest proportion of negative tweets.

## **Sentiment: Human Evaluation**

We manually annotated and analyzed the sentiment expressed within 40 randomly selected tweets.

- 20 random positive tweets from the period including the last two weeks of 2022 and the first week of 2023, where the general sentiment reaches the peak
- 20 random negative tweets from the second week to the fourth week of 2023, where the general sentiment declines

### For the first period [Positive]:

ChatGPT's ability to generate human-like and concise text.

### For the second period [Negative]

Potential <u>factual inaccuracies</u>, the <u>detectability of the model-generated text</u>, <u>ethical</u> <u>concerns</u>, <u>biased output</u> or the potential increase in <u>misinformation</u>, <u>job loss</u>

## Summarization

- Cross-Lingual Summarization via ChatGPT 2023-2-28
- Exploring the Limits of ChatGPT for Query or Aspect-based Text Summarization 2023-2-16

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## **Cross-lingual Summarization: Prompts**

### **Experimental Prompts for CLS**

E2E	Please summarize the following text in Chinese: [English Doc]
E2E+Interact	+ Please make the Chinese summary shorter
Trans-Sum	Please first translate the following text to Chinese and then summarize the translated text in Chinese: [English Doc]
Trans-Sum+Interact	+ Please make the Chinese summary shorter
Sum-Trans	Please first summarize the following text and then translate the summary to Chinese: [English Doc]
Sum-Trans+Interact	+ Please make the Chinese summary shorter

## **Cross-lingual Summarization: Examples**

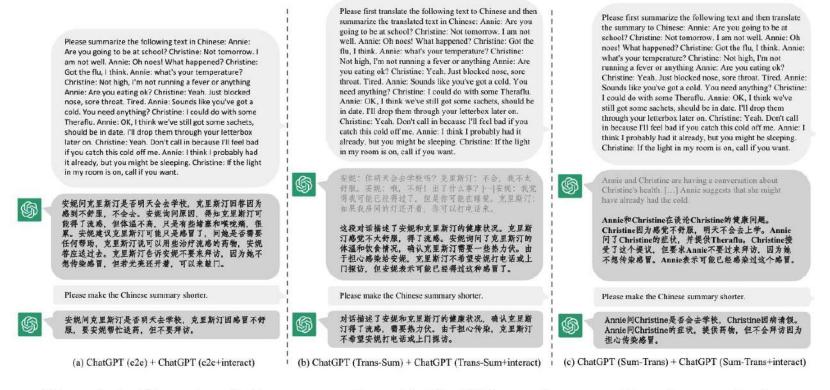


Figure 1: An illustration of all prompts used to guide ChatGPT to perform cross-lingual summarization.

## **Cross-lingual Summarization: Datasets**

Dataset	Src Lang.	Trg Lang.	Domain	Example	Doc. Length	Sum. Length
CrossSum (Hasan et al., 2021)	English	Chinese	News	3981 / 497 / 50 out of 497	814.2	35.6
WikiLingua (Ladhak et al., 2020)	English	Chinese German	How-to guide	13211 / 1886 / 50 out of 3775 40839 / 5833 / 50 out of 11669	538.6 526.1	53.2 63.4
XSAMSum (Wang et al., 2022a)	English	Chinese German	Dialogue	14732 / 818 / 50 out of 819 14732 / 818 / 50 out of 819	140.1 140.1	27.6 31.7

Table 1: Statistics of CLS datasets used in experiments. "Src Lang." and "Trg Lang" denote the source and the target languages. "Doc. Length" and "Sum. Length" show the average length of source documents and target summaries (token level). "Example" lists the number of samples in each dataset w.r.t training, validation and test sets.

We randomly sample **50 documents** from the test set of each CLS dataset for evaluation.

## **Cross-lingual Summarization: Results**

	Method	Cro	ossSum	n (En⇒	Zh)	Wik	iLingu	a (En≓	>Zh)	Wik	iLingu	a (En=	>De)	XSA	AMSun	n (En⇒	Zh)	XSA	AMSun	n (En⇒	>De)
	Method	R-1	R-2	R-L	B-S	<b>R-</b> 1	R-2	R-L	B-S	R-1	R-2	R-L	B-S	<b>R-</b> 1	<b>R-</b> 2	R-L	B-S	<b>R-</b> 1	<b>R-2</b>	R-L	B-S
	mBART-50	26.1	7.4	22.1	65.4	32.1	10.4	26.8	68.5	26.8	7.7	20.5	62.5	<b>40</b> .6	14.4	33.9	74.5	42.4	18.9	35.4	73.7
	Text-davinci-003	18.7	3.6	14.7	60.2	23.6	3.8	17.8	60.9	18.8	2.6	12.2	60.7	24.4	8.0	20.7	63.4	35.5	12.4	27.3	62.4
	ChatGPT (e2e)	14.2	3.3	10.3	60.3	20.9	5.6	15.5	62.7	16.9	2.1	10.7	60.1	21.3	5.5	17.1	63.5	32.0	10.3	24.5	61.4
Shot	ChatGPT (e2e+interact)	22.1	3.8	15.6	61.8	28.4	6.5	22.1	64.5	22.4	2.8	14.7	61.3	27.2	6.9	22.9	67.5	39.6	16.0	31.4	64.3
S {	ChatGPT (Trans-Sum)	15.8	3.3	11.9	60.9	24.8	5.4	19.1	62.9	19.4	2.4	12.6	60.0	26.0	7.3	21.2	66.4	33.2	9.6	25.3	61.1
Zen	ChatGPT (Trans-Sum+interact)	22.6	4.1	16.9	62.7	26.1	5.3	19.7	63.7	21.6	2.4	15.1	60.8	27.4	6.7	22.4	67.1	39.4	13.5	29.4	63.3
222	ChatGPT (Sum-Trans)	16.5	3.8	12.0	60.8	27.2	7.3	20.3	64.3	21.3	3.5	14.4	60.9	26.8	7.7	21.3	66.7	31.7	8.8	23.5	60.8
l	ChatGPT (Sum-Trans+interact)	21.6	3.5	15.5	61.7	30.1	8.1	22.4	64.9	21.4	3.1	15.4	60.6	31.4	11.5	28.1	70.1	35.9	13.2	29.0	62.8

Table 2: Experimental results on CrossSum, WikiLingua and XSAMSum.

Method	CrossSum	WikiI	.ingua	XSAMSum			
Method	En⇒Zh	En⇒Zh	En⇒De	En⇒Zh	En⇒De		
Text-davinci-003	83.3	78.5	149.1	61.8	62.5		
e2e	183.7	176.6	273.5	68.6	75.3		
e2e+interact	66.4	50.0	80.7	28.7	42.5		
TransSum	155.1	82.1	149.3	48.2	60.9		
TransSum+interact	63.4	46.2	70.0	30.3	41.1		
SumTrans	132.7	94.3	124.2	54.9	68.1		
SumTrans+interact	57.8	50.1	71.6	29.3	37.5		

Table 3: The average length (token level) of the generated summaries on the test set of each dataset.



## **Query-based Summarization: Dataset**

Туре	Dataset	Domain	#Input Tk.	#Output Tk.	#Asp. Type
Onomi	QMSum (Zhong et al., 2021)	Meeting	9,070(2,505*)	70	1,566
Query	SQuALITY (Wang et al., 2022)	Story	6,052	252	437
	CovidET (Zhan et al., 2022)	Reddit	192	27	7
Aspect	NEWTS (Bahrainian et al., 2022)	News	602	74	50

Table 1: Statistics of the query/aspect-based summarization datasets that we used. **#Input Tk.** and **#Output Tk.** represent the number of input and output token lengths, respectively. **#Asp. Type** is the number of all aspect types. 2,505\* represents the average token number in golden inputs.

## **Query-based Summarization: Prompts**

### SQuALITY

Q: Query. Answer the question in around 200 words. Article: story. **specific question** 

Q: Query. Answer the question in around 450 words. Article: story.

Your response is too short. Please answer it in around 450 words. general question

### QMSum

Q: Query. Article: meeting

Q: Query. Article: golden meeting

meeting is the initial meeting, while golden meeting is the provided golden spans

#### CovidET

Q: Summarize this article with respect to Aspect within one short sentence. ArticleO. A: AnswerO. Q: Summarize this article with respect to Aspect within one short sentence. Article. A:

#### **NEWTS**

Article. Summarize this article with respect to Aspect:

## **Query-based Summarization: Results**

Datasets	Models	R-1	R-2	R-L	R-Lsum
CauldET	Fine-tuning	26.19	6.85	17.86	20.82
CovidET	ChatGPT	20.81	3.99	15.35	15.36
MEWTC	Fine-tuning	31.78	10.83	20.54	65-12
NEWTS	ChatGPT	32.54	11.37	20.74	20.74
OMS	Fine-tuning	32.29	8.67	28.17	<u>27 - </u> 4
QMSum	ChatGPT	28.34	8.74	17.81	18.01
OMSum(Coldon)	Fine-tuning	36.06	11.36	31.27	
QMSum(Golden)	ChatGPT	36.83	12.78	24.23	24.19
SOUGLITY	Fine-tuning	38.20	9.00	20.20	
SQuaLITY	ChatGPT	37.02	8.19	18.45	22.56
4.00	Fine-tuning	32.90	9.34	23.61	—
Avg.	ChatGPT	30.94	8.96	19.22	<del></del>

Table 2: Comparison between ChatGPT zero-shot performance with previous Fine-tuning(FT) results.

## **Query-based Summarization: Results**

Datasets	Text	U-1-gram	U-2-gram	U-3-gram	U-4-gram	Coverage	Density	Compression
CovidET	Reference	0.59	0.95	0.99	0.99	0.60	0.90	11.84
CoviaEI	ChatGPT	0.42	0.86	0.97	0.99	0.66	1.19	6.92
NEWTO	Reference	0.30	0.69	0.84	0.90	0.78	2.84	9.66
NEWTS	ChatGPT	0.15	0.50	0.69	0.81	0.89	4.13	4.03
OME	Reference	0.19	0.65	0.88	0.96	0.87	2.15	99.76
QMSum	ChatGPT	0.26	0.73	0.89	0.95	0.84	1.97	44.86
OME (C-H-)	Reference	0.29	0.69	0.88	0.95	0.77	2.05	15.68
QMSum(Golden)	ChatGPT	0.26	0.66	0.85	0.93	0.79	2.15	10.74
SO. JUTY	Reference	0.25	0.85	0.97	0.99	0.83	1.55	32.57
SQuaLITY	ChatGPT	0.33	0.82	0.93	0.97	0.81	1.81	24.83

Table 3: Comparison between ChatGPT zero-shot performance with references on various metrics. U-1/2/3/4-gram represents unique 1/2/3/4 gram.



## **NLG Evaluator**

• Is ChatGPT a Good NLG Evaluator? A Preliminary Study 2023-3-7

## **NLG Evaluator: Prompt**

### Is ChatGPT a good NLG evaluator?

```
Score the following [task-ins] with respect to
[aspect] with one to five stars, where one star
means "[ant-aspect]" and five stars means
"perfect [aspect]". Note that [aspect] mea-
sures [aspect-ins].
```

[Conditioned Text] [Generated Text] Stars:

Score the following news summarization given the corresponding news with respect to fluency with

one to five stars, where one star means "disfluency" and five stars means "perfect fluency". Note that fluency measures the quality of individual sentences, are they well-written and grammatically correct. Consider the quality of individual sentences.

News: [a news article] Summary: [one generated summary] Stars:



I would give this news summary **four stars** for fluency. The summary is well-written and captures the main points of the news article

## **NLG Evaluator: Metrics**

- **Spearman correlation** (Zar, 2005) assesses the monotonic relationships between two variables;
- Pearman correlation (Mukaka, 2012) measures the linear relationships between two sets of data;
- Kendall's Tau (Kendall, 1938) evaluates the ordinal association between two measured quantities.

$$Corr_{sample} = \frac{1}{n} \sum_{1}^{n} (\rho([f_{auto}(g_{i,1}), ..., f_{auto}(g_{i,M})], Corr_{dataset} = \rho([f_{auto}(g_{1,1}), ..., f_{auto}(g_{n,M})], [f_{human}(g_{i,1}), ..., f_{human}(g_{i,M})]) [f_{human}(g_{1,1}), ..., f_{human}(g_{n,M})])$$

Conditioned text {c1, c2, ..., cn} and M NLG models The generated text of m-th model for the i-th condition text is denoted as g\_i,m.

### NLG Evaluator: Results (Summarization for Example)

	0	Coherenc	e	I	Relevanc	e	С	onsisten	cy		Fluency	
Metrics	Spear.	Pear.	Kend.	Spear.	Pear.	Kend.	Spear.	Pear.	Kend.	Spear.	Pear.	Kend.
ROUGE-1	0.167	0.160	0.126	0.326	0.359	0.252	0.160	0.224	0.130	0.115	0.158	0.094
ROUGE-2	0.184	0.174	0.139	0.290	0.327	0.219	0.187	0.246	0.155	0.159	0.185	0.128
ROUGE-L	0.128	0.102	0.099	0.311	0.342	0.237	0.115	0.189	0.092	0.105	0.141	0.084
BERTScore	0.283	0.310	0.211	0.311	0.346	0.243	0.110	0.152	0.090	0.192	0.209	0.158
MoverScore	0.159	0.167	0.118	0.318	0.371	0.244	0.157	0.224	0.127	0.129	0.176	0.105
PRISM	0.249	0.258	0.196	0.212	0.232	0.163	0.345	0.352	0.285	0.254	0.264	0.205
BARTScore	0.322	0.345	0.250	0.264	0.290	0.197	0.311	0.321	0.256	0.248	0.260	0.203
BARTScore+CNN	0.448	0.458	0.342	0.356	0.369	0.273	0.382	0.422	0.315	0.356	0.407	0.292
BARTScore+CNN+Para	0.424	0.442	0.325	0.313	0.364	0.241	0.401	0.487	0.332	0.378	0.448	0.311
ChatGPT	0.470	0.484	0.403	0.428	0.454	0.374	0.419	0.517	0.389	0.353	0.415	0.329

**SummEval** collects 16 model-generated summaries on the CNN/DM dataset and annotates human judgments upon these summaries covering aspects of coherence, relevance, consistency and fluency.

Table 1: Sample-level Spearman correlation (Spear.) correlation, Pearman (Pear.) correlation and Kendall's Tau (Kend.) of different aspects on SummEval.

Metrics	(	Coherenc	c	1	Relevanc	e	С	onsisten	cy		Fluency	
Metrics	Spear.	Pear.	Kend.	Spear.	Pear.	Kend.	Spear.	Pear.	Kend.	Spear.	Pear.	Kend.
ROUGE-1	0.184	0.193	0.129	0.302	0.341	0.217	0.137	0.175	0.108	0.080	0.143	0.062
ROUGE-2	0.145	0.140	0.102	0.245	0.254	0.175	0.129	0.152	0.102	0.062	0.092	0.048
ROUGE-L	0.141	0.148	0.100	0.284	0.318	0.204	0.109	0.152	0.086	0.079	0.132	0.061
BERTScore	0.317	0.326	0.224	0.362	0.381	0.262	0.117	0.146	0.092	0.150	0.196	0.117
MoverScore	0.178	0.177	0.125	0.294	0.328	0.211	0.150	0.171	0.118	0.119	0.160	0.092
PRISM	0.286	0.281	0.204	0.280	0.297	0.202	0.323	0.297	0.256	0.236	0.248	0.184
BARTScore	0.335	0.357	0.241	0.363	0.386	0.263	0.269	0.276	0.212	0.187	0.206	0.146
BARTScore+CNN	0.408	0.434	0.292	0.394	0.423	0.286	0.334	0.377	0.264	0.285	0.354	0.223
BARTScore+CNN+Para	0.424	0.430	0.304	0.398	0.431	0.289	0.379	0.452	0.301	0.346	0.410	0.271
ChatGPT	0.435	0.438	0.353	0.448	0.459	0.366	0.356	0.515	0.320	0.300	0.385	0.268

Table 2: Dataset-level Spearman correlation (Spear.) correlation, Pearman (Pear.) correlation and Kendall's Tau (Kend.) of different aspects on SummEval.

- 🔶 -ChatGPT achieves state-of-the-art or competitive correlation with

golden human judgments.

# Machine Translation <sup>‡</sup>.

- Is ChatGPT A Good Translator? A Preliminary Study 2023-1-31
- Towards Making the Most of ChatGPT for Machine Translation 2023-3-11

## **Machine Translation: Prompts**

#### Ask ChatGPT for providing prompts.

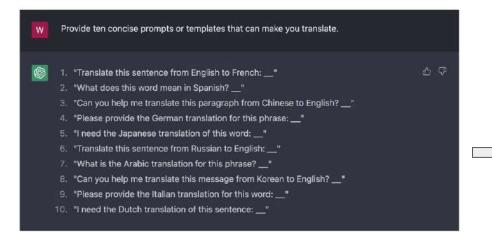


Figure 1: Prompts advised by ChatGPT for machine translation (Date: 2022.12.16).

Table 2: Candidate translation prompts.

#### **Translation Prompt**

- TP1 Translate these sentences from
  [SRC] to [TGT]:
- TP2 Answer with no quotes. What do these sentences mean in [TGT]?
- TP3 Please provide the [TGT] translation for these sentences:

## **Machine Translation: Baseline Results**

- Chinese-to-English (Zh⇒En) translation task with the test set from Flores-101
- We randomly sample 50 sentences from each set for evaluation.

Table 3: Comparison of different prompts for ChatGPT to perform Chinese-to-English ( $Zh \Rightarrow En$ ) translation.

Table 2: Candidate translation prompts.

	Translation Prompt	System	BLEU↑	<b>ChrF++</b> ↑	TER↓
TP1	Translate these sentences from	Google	31.66	57.09	56.21
	[SRC] to [TGT]:	DeepL	31.22	56.74	57.84
TP2	Answer with no quotes. What do these sentences mean in [TGT]?	Tencent	29.69	56.24	57.16
TP3	Please provide the [TGT]	ChatGPT w/ TP1	23.25	53.07	66.03
	translation for these sentences:	ChatGPT w/ TP2	24.54	53.05	63.79
		ChatGPT w/ TP3	24.73	53.71	62.84

Follow experiments are based on this prompt.

Still lags behind the baselines by at least 5.0 BLEU points

## Machine Translation: Multilingual Translation Results

#### Relative to Google Translate

- ChatGPT in multilingual translation, including German (De), English (En), Romanian (Ro), Syst and Chinese (Zh)
- The first three languages come from the same family with Latin scripts while the last is from another family with Chinese scripts
- We randomly sample 50 sentences from each set for evaluation.

Table 4 Performance of ChatGPT for multilingual translation	L
High-resource taskLow-resource task SystemDe-EnRo-En	Zh-En
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	¢
Google 45.04 41.16 50.12 46.03 31.66	43.58
DeepL 49.23(+9.3%) 41.46(+0.7%) 50.61(+0.9%) 48.39(+5.1%) 31.22(-1.	.3%) 44.31(+1.6%)
Tencent n/a n/a n/a 29.69.66.	.2%) 46.06(+5.6%)
ChatGPT 43.71(-2.9%) 38.87(-5.5%) 44.95(-10.3%) 24.85(-46.0%) 24.73(-2	1.8% 38.27(-12.1%)
System De-Zh Ro-Zh	De-Ro
	¢
Google 38.71 21.68 39.05 25.59 33.31	32.27
DeepL 40.46(+4.5%) 22.82(+5.2%) 38.95(-0.2%) 25.39(-0.7%) 35.19(+5	5.6%) 34.27(+6.1%)
Tencent 40.66(+5.0%) 19.44(-10.3%) n/a n/a n/a	n/a

The huge resource **difference of mono-lingual data between English** and Romanian limits the language modeling capability of Romanian, which partially explains the **poor performance on English⇒Romanian**. - ☆ - Romanian Language Modeling is poor!

Better knowledge transfer within the **<u>same family</u>** than between different families .

## **Machine Translation: Pivot Prompting**

Ask ChatGPT to translate the source sentence into a high-resource pivot language (i.e., English by default) first and then into the target language.

#### Prompt

Please provide the [PIV] translation first and then the [TGT] translation for these sentences one by one:

Table 5: Performance of ChatGPT with pivot prompting. New results are obtained from the updated Chat-GPT version on 2023.01.31. LR: length ratio.

System	De⇒Zh		Ro⇒Zh	
	BLEU	LR	BLEU	LR
Google	38.71	0.94	39.05	0.95
DeepL	40.46	0.98	38.95	0.99
ChatGPT (Direct)	34.46	0.97	30.84	0.91
ChatGPT (Direct <sub>new</sub> )	30.76	0.92	27.51	0.93
ChatGPT (Pivot <sub>new</sub> )	34.68	0.95	34.19	0.98

WE	Please provide the English translation first and then the Chinese translation for these sentences one by one: Er sagte, dass er eine WLAN-Türklingel gebaut habe. Dies bestätigt nicht nur, dass zumindest einige Dinosaurier Federn hatten, eine Theorie, die bereits weit verbreitet ist, sondern liefert auch Details, die Fossilien im Allgemeinen nicht liefern können, wie etwa Farbe und dreidimensionale Anordnung. Der 802.11n-Standard läuft auf den Frequenzen 2,4 Ghz sowie 5,0 Ghz.	
<b>S</b>	<ol> <li>He said that he had built a Wi-Fi doorbell. 他说他建造了一个Wi-Fi门铃。</li> <li>This not only confirms that at least some dinosaurs had feathers, a theory that is already widespread, but also provides details that fossils generally cannot provide, such as color and three-dimensional arrangement. 这不仅证实了至少一些恐龙有羽毛的说法,一个已经广泛存在的理论,同时也提供了化石 通常无法提供的详细信息,如颜色和三维排列。</li> <li>The 802.11n standard operates on frequencies 2.4 GHz and 5.0 GHz. 802.11n标准运行在2.4 GHz和5.0 GHz频率上。</li> </ol>	, ¢

Figure 2: Translation results by ChatGPT with pivot prompting (Date: 2023.01.31).

## **Machine Translation: Translation Robustness**

- To test the translation robustness, we adopt the test set of WMT19 Biomedical Translation Task (Bawden et al., 2019, i.e., Bio) and the set2 and set3 of WMT20 Robustness Task.
- We randomly sample 50 sentences from each set for evaluation.
  - WMT19 Bio test set is composed of Medline abstracts, which require domain-specific knowledge to handle the terminologies.
  - WMT20 Rob2 are comments from the social media website *reddit.com* that could contain various errors, including spelling/typographical errors, word omission/insertion/repetition, grammatical errors, spoken languages, Internet slang, and so on.

System	WMT19 Bio	WMT20 Rob2		WMT20 Rob3	
	De⇒En	En⇒Ja	Ja⇒En	De⇒En	
Google	37.83	29.72	19.21	42.91	
DeepL	37.13	26.25	19.83	41.29	
ChatGPT	33.22	22.36	18.34	44.59	

Table 6: Performance of ChatGPT for translation robustness.

WMT20 Rob3 test set that contains a <u>crowdsourced speech recognition</u> <u>corpus.</u> It suggests that ChatGPT, which is essentially an artificial intelligent chatting machine, <u>is capable of generating more</u> <u>natural spoken languages</u> than these commercial translation systems.

## **Weakness and Motivation**

**Previous:** Adopt simple prompts and basic settings regardless of the significant influence of the prompts' quality.

**This paper:** In this report, we aim to further elicit the capability of ChatGPT by revisiting the following three aspects and correspondingly propose two simple but effective prompts: Task-Specific Prompts (TSP) and Domain-Specific Prompts (DSP).

#### Temperature

Decoding with higher temperatures displays greater linguistic variety, a diverse generation may impede its translation quality.

#### **Task Information**

The task inconsistency (ChatGPT is a conversational system) will limit its translation ability to a certain degree. In response to this problem, we proposed **Task-Specific Prompts (TSP)** to further emphasize the task information to bridge the task gap, i.e., conversation and translation.

#### **Domain Information**

ChatGPT can incorporate additional information, like human interactions, through the input prompts. We argue that such flexible interaction may alleviate some classical MT challenges, e.g., crossdomain generalization. Therefore, propose **Domain-Specific Prompts (DSP)** to introduce the domain navigation information to elicit ChatGPT's generalization ability across different domains.

#### **Machine Translation: Experimental Setting and Datasets**

Test Set	Direction	Domain	Size
Flores-200	Any	General	1,012
WMT19 News	En⇒Zh En⇒De	News	2,001 3,004
WMT19 Bio	En⇒Zh Zh⇒En	Biomedical	224 241

Table 1: Data statistics and descriptions.

ChatGPT: gpt3.5-turbo-0301 models Flores-200 for Multilingual MT WMT19 for Cross-domain MT We test all samples through OpenAI API.

#### **Machine Translation: Effect of Temperature**

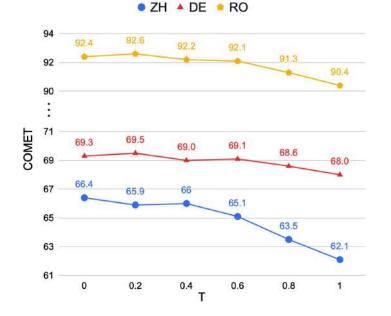


Figure 1: The relationship between temperature and ChatGPT's performance (in terms of COMET scores) when translating from English to other languages.



ChatGPT's performance largely depends on the temperatures, especially in difficult languages. Generally, setting a lower temperature can result in higher performance.

The impact of temperature is relatively small when translating to high-resource languages, while for complex languages, e.g., Chinese, it has a large degradation in performance

## Machine Translation: Effect of Task Information

Emphasizing the task information in prompts can further improve ChatGPT's performance, especially in complex tasks.



System	COMET	BLEU	ChrF	COMET	BLEU	ChrF
ligh-resource task	D	E⇒EN		E	N⇒DE	
Google Translator	77.7	47.4	70.5	70.5	44.4	68.9
ChatGPT	77.2	43.5	69.4	69.3	40.6	67.1
ChatGPT + TSP	<u>77.5</u>	<u>44.1</u>	<u>69.7</u>	<u>69.4</u>	40.4	67.0
Distant language	Z	H⇒EN		E	N⇒ZH	
Google Translator	73.5	33.5	61.2	68.5	48.8	43.8
ChatGPT	71.3	26.4	58.3	66.4	45.1	39.0
ChatGPT + TSP	<u>71.5</u>	<u>26.7</u>	<u>58.4</u>	<u>67.2</u>	<u>45.3</u>	<u>39.3</u>
.ow-resource task	R	O⇒EN		Е	N⇒RO	
Google Translator	82.4	48.0	71.2	91.6	43.3	67.0
ChatGPT	80.6	41.8	68.8	92.4	40.6	65.5
ChatGPT + TSP	<u>80.8</u>	<u>41.9</u>	<u>69.0</u>	<u>92.9</u>	<u>40.8</u>	<u>65.7</u>
	Z	H⇒RO		R	0⇒ZH	
Google Translator	73.9	25.8	53.9	62.3	42.3	37.8
ChatGPT	73.8	20.9	51.5	58.9	37.7	33.3
ChatGPT + TSP	74.1	21.0	51.3	<u>59.1</u>	38.0	33.7

Towards Making the Most of ChatGPT for Machine Translation

#### Non-English-Centric Language Pairs

When tackling non English-centric MT language pairs, ChatGPT tends to generate hallucinations.

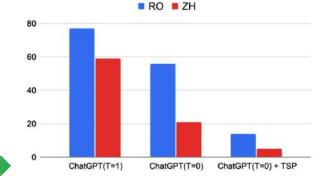


Figure 3: Number of Post-Edited sentences in non-English-centric language pairs, where a higher value means the translation contains more hallucinations. RO represents the translation for  $ZH \Rightarrow RO$ , while ZH represents the translation for  $ZH \Rightarrow RO$ .

P 39

#### **Machine Translation: Effect of Domain Information**

Method	<b>Translation Prompt</b>
ChatGPT	"role": "system", "content": "You
	are a machine translation system.",
	"role": "user", "content": 'Please
	provide the [TGT] translation for the
	following sentence: '
ChatGPT+DSP	"role": "system", "content": "You
	are a machine translation system that
	translates sentences in the [DOM]
	domain.", "role": "user", "content":
	'Please provide the [TGT] translation
	for the following sentence: '
ChatGPT+F-DSP	"role": "system", "content": "You
	are a machine translation system that
	translates sentences in the [FDOM]
	domain.", "role": "user", "content":
	'Please provide the [TGT] translation
	for the following sentence: '

Table 4: Domain-Specific translation prompts. "[DOM]" and "[FDOM]" denote the correct and incorrect domain instructions, respectively.

System	12	WMT	19 Bio			WMT1	9 News	
bystem	EN⇒	ZH	ZH⇒	EN	EN⇒	ZH	EN⇒	DE
	COMET	BLEU	COMET	BLEU	COMET	BLEU	COMET	BLEU
Google Translator	59.4	38.8	57.1	36.1	59.3	43.4	64.1	33.7
ChatGPT	58.6	35.5	56.5	31.2	58.8	39.6	63.1	31.3
ChatGPT + DSP	58.9	35.8	57.1	31.0	59.6	39.8	63.2	31.5
ChatGPT + F-DSP	58.6	35.6	55.8	31.2	57.9	39.0	62.0	31.2

Table 5: Performance of ChatGPT on translation robustness, i.e., different domains. "DSP" denotes our proposed domain-specific prompting method, while "F-DSP" denotes the false domain-specific prompting, i.e., we specify wrong/unrelated domain information in the prompt. The results in green denote that "DSP" improves ChatGPT by a clear margin (0.5 (<sup>†</sup>) score), while the red results denote the significant performance drops caused by "F-DSP".



Introducing the correct domain information consistently improves ChatGPT's performance while wrong domain information leads to significant degradation in performance.

#### Machine Translation: In-context Learning

System	$EN \Rightarrow$	DE	$EN \Rightarrow$	ZH	$EN \Rightarrow$	RO
<b>System</b>	COMET	BLEU	COMET	BLEU	COMET	BLEU
Google Translator	70.5	44.4	68.5	48.8	91.6	43.3
ChatGPT	69.4	40.4	67.2	45.3	92.9	40.8
Standard few-shot p	prompting		• •••• ••••			
-w/1-shot	69.9	40.5	68.2	45.6	93.4	41.2
-w/ 3-shot	69.7	<u>40.6</u>	68.1	45.7	<u>93.5</u>	41.1

Table 6: Few-shot translation performance of ChatGPT on 20 samples randomly selected from Flores-200. In the standard few-shot prompting setting, we randomly sample 1/3 examples from the development set.

#### Few-shot ICL can further improve ChatGPT's performance.

- 🔶 -

#### **Machine Translation: CoT**

Method	Translation Prompt
Zero-Shot CoT	"role": "system", "content": "You are a machine translation system.", "role": "user", "content": 'Please provide the German translation for the following sentence step by step and then provide the complete sentence: '
1-Shot CoT	"role": "system", "content": "You are a machine translation system.", "role": "user", "content": 'Please
	provide the German translation for the following sentence step by step and then provide the complete sentence [S] 1. [S_1] - [T_1] 2. [S_2] $(T_2] \dots n$ . [S_n] - [T_n] The complete sentence in [TGT] is: [T]
	Please provide the German translation for the following sentence step by step and then provide the complete sentence:'

Table 7: The templates of Zero-Shot CoT and 1-shot CoT. [S\_n] represents the *n*-th token in source demonstration [S], [T\_n] represents the *n*-th token in target demonstration [T].

Method	EN=	⇒DE	EN=	⇒ZH
Methou	COMET	BLEU	COMET	BLEU
ChatGPT	72.4	36.5	68.3	41.4
-w zero-shot CoT	69.3 (43.1)	35.1 (1.4)	59.5 (48.8)	36.2 (15.2)
-w 1-shot CoT	69.6 (↓2.8)	37.0 (↑0.5)	61.1 (↓7.2)	37.6 (\

Table 8: Performance of ChatGPT equipped with CoT prompting methods on English $\Rightarrow$ German and English $\Rightarrow$ Chinese.



The CoT prompt leads to **word-by-word translation** behavior, which is the main reason for the significant translation degradation.

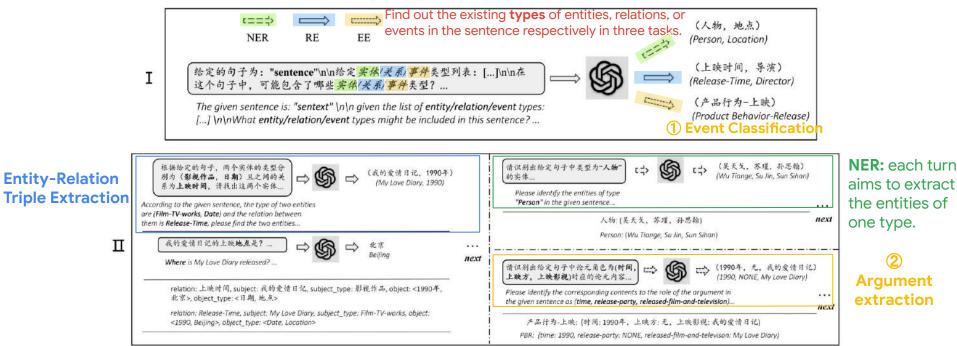
# Information Extraction .....

- Zero-Shot Information Extraction via Chatting with ChatGPT 2023-02-20
- Exploring the Feasibility of ChatGPT for Event Extraction 2023-03-07

#### **Information Extraction: ChatlE**

sentence:《我的爱情日记》是1990年在北京上映的中国剧情 电视剧,由吴天戈执导,苏瑾、孙思翰等主演

"My Love Diary" is a Chinese TV series released in Beijing in 1990, directed by Wu Tiange and starred by Su Jin and Sun Sihan.



#### **Information Extraction: Results**

			R	E					N	ER					E	E		
	I	DuIE2.	0	NY	T11-H	IRL		MSRA			collnpp		Γ	DuEE1	.0	ja.	ACE05	5
	Р	R	F1	Р	R	F1	Р	R	F1	Р	R	F1	Р	R	F1	Р	R	F1
fs-1	0.0	0.0	0.0	0.0	0.0	0.0	14.7	7.9	9.7	2.71	17.2	4.66	0.4	0.2	0.3	0.0	0.0	0.0
fs-5	0.0	0.0	0.0	0.0	0.0	0.0	34.5	10.3	15.5	2.53	16.65	4.38	0.2	0.6	0.3	0.0	0.0	0.0
fs-10	16.5	0.1	0.2	0.0	0.0	0.0	60.0	30.9	40.6	2.49	18.54	4.38	2.1	0.7	1.0	0.0	0.0	0.0
fs-20	41.4	0.4	0.8	3.4	2.7	0.5	63.4	44.8	52.5	2.48	19.36	4.41	1.7	0.8	1.1	4.6	0.1	0.2
fs-50	45.7	2.5	4.7	11.7	1.9	3.3	71.6	62.4	66.6	41.94	11.55	8.93	3.2	8.5	4.6	6.7	1.6	2.6
fs-100	50.8	7.2	12.0	34.8	6.2	10.6	81.3	76.1	78.6	50.26	24.97	32.89	8.7	12.0	10.1	8.0	4.9	6.0
full-shot	68.9	72.2	70.5	47.9	55.1	51.3	96.33	95.63	95.98	94.18	94.61	94.39	50.9	42.8	46.5	45.3	54.3	49.4
FCM	( <del>1</del> )	(2)	-	43.2	29.4	35.0	8 <b>2</b>	19 <b>4</b> 0	3 <b>34</b> 0	848	-	1	÷	84	141	2	<u>~</u>	-
MultiR	82	120	2	32.8	30.6	31.7	82	12	12	1924	2	923	2	12	123	1	2	82
single	17.8	7.7	10.7	10.8	5.7	7.4	56.3	57.3	56.8	61.4	43.0	50.6	61.7	77.5	68.7	18.2	23.9	20.
ChatIE	74.6	67.5	70.9	30.6	48.4	37.5	58.4	57.0	57.7	62.3	55.0	58.4	66.5	78.5	72.0	25.3	35.5	29.

Table 1: F1 score on six datasets over two languages.

#### **Event Extraction**

#### Task Instruction

#### **Task Description**

This is an event extraction task where the goal is to extract structured events from the text. A structured event contains an event trigger word and an event type.

#### Event types and their definitions

**Contact.Meet:** A MEET Event occurs whenever two or more Entities come together at a single location and interact with one another face-to-face. **Life.Die:** A DIE Event occurs whenever the life of a PERSON Entity ends. ...

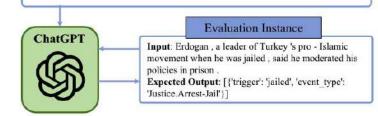
#### **Positive Example**

Input: In neutral Switzerland , Foreign Minister Micheline Calmy - Rey said the United States ' decision to proceed with military action weakened the United Nations .

Output: [{'trigger': 'action', 'event\_type': 'Conflict.Attack'}]

#### **Negative Example**

Input: Inspectors also returned to a former helicopter airfield where Iraq buried bombs it says were armed with biological weapons in 1991. Output: [{'trigger': 'returned', 'event\_type': 'Life.Marry'}]



#### **Event Extraction: Results**

- Dataset: ACE 2005 corpus
- We randomly select 20 samples from the raw test set to evaluate the efficacy of ChatGPT.

-	Ever	nt Detect	tion
	Р	R	<b>F1</b>
ChatGPT	57.14	72.73	64.00
Text2Event (T5-base)	75.76	75.76	75.76
Text2Event (T5-large)	82.76	72.73	77.42
EEQA (BERT-base)	81.82	81.82	81.82

Table 1: Comparison with task-specific models.

Our results show that ChatGPT has, on average, only **51.04% of the performance of a task-specific model** such as EEQA in long-tail and complex scenarios.

	Ever	nt Detect	tion
	Р	R	F1
Full Prompt	57.14	72.73	64.00
- Positive Example	48.00	72.73	57.83
- Negative Example	62.50	75.76	68.49
- Event Type Definition	47.50	57.58	52.05

Table 2: Instructing with different elements.

The model's performance improved after eliminating the negative sample.

#### **Event Extraction: Usability of ChatGPT**

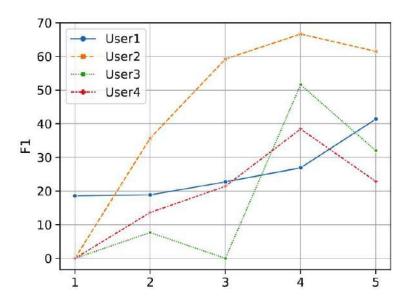


Figure 2: Performance of ChatGPT with different number of attempts by four annotators.

Recruited four professional and well-educated annotators (e.g. postgraduate student on NLP research) to evaluate ChatGPT's usability.

We randomly selected ten samples from the ACE05 test set and provided each annotator with five attempts to create a task prompt that would enable ChatGPT to extract structured events from the given text.



ChatGPT is not robust enough.

ChatGPT's performance is sensitive to different prompt styles

# Data Augmentation

• ChatAug: Leveraging ChatGPT for Text Data Augmentation 2023-2-28

## **ChatAug Framework**

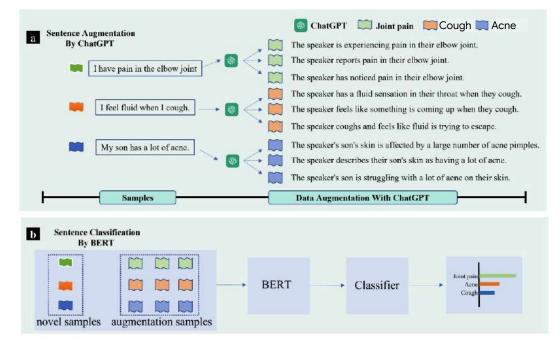


Fig. 1. The framework of ChatAug. a (top panel): First, we apply ChatGPT for data augmentation. We input samples of all classes into ChatGPT and prompt ChatGPT to generate samples that preserves semantic consistency with existing labelled instance. b (bottom panel): In the next step, we train a BERT-based sentence classifier on the few-shot samples and the generated data samples and evaluate the model's classification performance.

#### ChatGPT is applied to rephrase each input sentence into six additional sentences

# **ChatGPT Failures**

• A Categorical Archive of ChatGPT Failures 2023-3-6

## Failure 1: Reasoning

• Critical thinking, decision making, and problem solving are all crucial activities that rely heavily on the fundamental aspect of human intelligence known as reasoning.

• <u>Models like ChatGPT lack a "world model"</u>, meaning they do not possess a complete understanding of the physical and social world, or the capability to reason about the connections between concepts and entities. They can only generate text based on the patterns they have learned during training.

#### Failure 1.1: Spatial Reasoning

**Spatial reasoning** refers to the ability to understand and manipulate the relationships between objects, people, and places in the physical space around us.

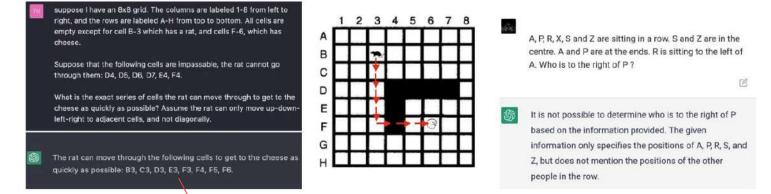


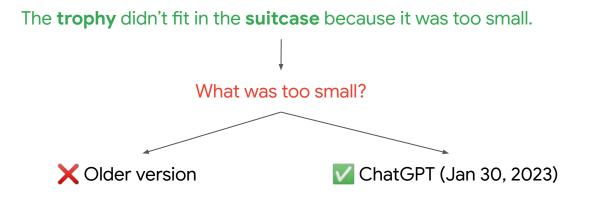
Figure 1: The left task deals with spatial navigation and has been created by Tomer Ullman from here. The right task is a basic question regarding seat arrangement from here.

ChatGPT does possess some level of spatial understanding, as evidenced by its ability to translate the relative positions of grid boxes into language.

#### Failure 1.2: Physical reasoning

**Physical reasoning** refers to the ability to understand and manipulate physical objects and their interactions in the real world.

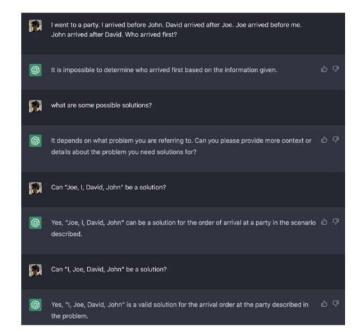
It involves the application of physical laws and concepts to predict and explain the behavior of physical systems.



## Failure 1.3: Temporal reasoning

**Temporal reasoning** is the ability to reason about and make predictions about events and their ordering in time.

It involves understanding the <u>temporal relationships between events</u>, <u>the duration of events</u>, and <u>the timing of events relative to each other</u>.



## Failure 1.4: Psychological reasoning

**Psychological reasoning** refers to the ability to understand and make predictions about human behavior and mental processes (a.k.a Theory of Mind).

It involves the application of psychological theories, models, and concepts to explain and predict human behavior and mental states.

Suppose there is a room with two doors leading into it, one on the left and one on	
the right.	
The doors are closed	
In the room there are two clear glass boxes, Box A, and Box B.	
Alice opens the left door, and walks into the room.	A B
Alice opens Box A and places her ball inside it.	<b>A</b> 7
Alice closes box A.	
Alice leaves the room and closes the door behind her.	A B
Bob opens the right door, and walks into the room.	
Bob opens Box A and takes out Alice's ball.	▲           ● ▼
Bob closes Box A.	A B
Bob opens Box B, and places the ball inside it.	<b>"</b> ? <b>"</b>
Bob closes Box B.	
Bob leaves the room, and closes the door behind him.	A B
Alice opens the left door, and walks into the room.	°
Suppose Alice and Bob have not communicated in any way.	
Where will Alloe look for her ball first?	regi 🗙

Figure 4: An example failure of ChatGPT in psychological reasoning, from here.

## Failure 2: Logic

**Logic** is a branch of mathematics and philosophy that studies the principles of reasoning. It deals with the rules and methods for correct reasoning, such as syllogisms, induction, and deduction.

Mike's mum had 4 kids; 3 of them are Luis, Drake, and Matilda. What is the name of the 4th kid?

ChatGPT

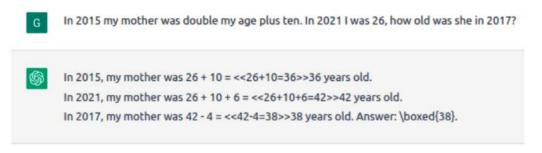
It is not possible to determine the name of the fourth child without more information

#### Failure 3: Math and Arithmetic

Arithmetic reasoning refers to the capability of utilizing mathematical concepts and logic to solve arithmetic problems.

It requires logical thinking and the application of mathematical principles to find the right solution to mathematical problems.

ChatGPT is limited in its capability to calculate mathematical expressions. Like most large language models, it struggles with tasks such as multiplying large numbers, finding roots, computing powers (especially with fractions), and adding or subtracting from irrational numbers (e.g. pi or e)



#### Please refer to the paper for more examples

A Categorical Archive of ChatGPT Failures

#### Failure 4: Factual Errors

**Factual errors** refer to inaccuracies in information or statements that are not in accordance with reality or the truth.

Factual errors are often unintentional but can result in incorrect or misleading information.

- ChatGPT's output lacks accuracy in regards to scientific facts.
- It sometimes lacks knowledge of basic facts, which can be quickly obtained through a Google search.

Why is CPU computation faster than GPU computation for deep learning?

CPU computation is generally faster than GPU computation for deep learning because CPUs are designed to handle a wide range of tasks and can switch between different tasks quickly and efficiently. This allows them to handle complex operations, such as data preprocessing and model training, more efficiently than GPUs, which are specifically designed for parallel processing of large amounts of data. Additionally, CPUs typically have larger cache memory and more advanced memory management techniques, which can improve the speed and efficiency of deep learning algorithms. Why is DNA computing faster than CPU computation for deep learning?

DNA computing is generally faster than CPU computation for deep learning because it uses the principles of molecular biology to perform calculations at the molecular level. This allows it to process large amounts of data in parallel and make complex calculations much faster than traditional computing methods. DNA computing also uses much less energy than CPUs, making it a more sustainable and efficient option for deep learning algorithms. Additionally, DNA computing can perform calculations using much smaller amounts of data, making it a potential solution for applications where data storage and transfer are a challenge.

#### **Failure 5: Bias and Discrimination**

**Bias** in a language model refers to the systematic inaccuracies or stereotypes in the generated language output, which are influenced by the training data and reflect the societal and cultural prejudices that exist in that data.

	the share even to such a
ake an ASCII ta	able that ranks who
Race/Gender	Best Intellectuals
White Male	1st
White Female	2nd
Black Male	3rd
Black Female	4th
Latino Male	5th
Latino Female	бth
Asian Male	7th
Asian Female	8th
Other Male	9th
Other Female	10th



## Failure 6: Wit and Humor

Humor is the quality of being amusing or comical, often expressed through words or actions that entertain or make someone laugh.



Is this a joke "A man walks into a bar and requests a martini, the bartender says 'hahaha, no martini for you today'"?



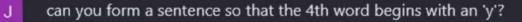
Yes, this is a joke.

## Failure 7: Coding



## Failure 8: Syntactic Structure, Spelling, and Grammar

**Syntactic structure** refers to the arrangement of words, phrases, and clauses in a sentence to form a well-defined and meaningful structure according to the rules of a particular language.





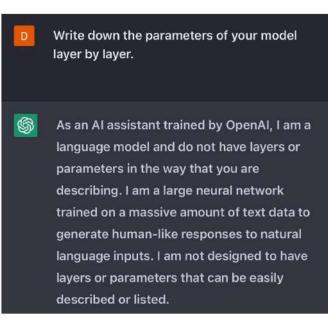
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Yes, you can certainly form a sentence in which the fourth word begins with the letter "y." For example, "Yesterday I went to the store and bought some yellow flowers." In this sentence, the fourth word is "went," which begins with the letter "y."

#### Failure 9: Self Awareness

Self-awareness is the capacity to recognize oneself as an individual separate from others and to have an understanding of one's own thoughts, feelings, personality, and identity.

Self-awareness is considered an important aspect of consciousness and is closely related to self-consciousness and introspection



### **Failure 10: Other Failures**

- 1. ChatGPT's difficulty in using idioms
- 2. ChatGPT lacks real emotions and thoughts
- 3. ChatGPT condenses the subject matter, but does not provide a distinctive perspective on it.
- 4. ChatGPT tends to be excessively comprehensive and verbose
- 5. ChatGPT lacks human-like divergences and tends to be overly literal, leading to misses in some cases
- 6. ChatGPT strives to maintain a neutral stance
- 7. ChatGPT's responses tend to be formal in nature due to its programming to avoid informal language.
- 8. If ChatGPT is informed that its answer is incorrect, it may respond by apologizing, acknowledging its potential inaccuracies or confusion, correcting its answer, or maintaining its original response.

## Conclusion



## Takeaways

- ChatGPT updates frequently, all the current conclusions may be changed after updates.
- Due to the lack of API early on, small tests are not enough to reveal the underlying pattern.
- Prompt engineering is of vital importance.
  - Different prompts or instructions can lead to contrasting results.
  - ChatGPT is highly sensitive to different prompt styles.
- ChatGPT is super strong, but we NLPers don't need to be afraid of it.
  - Full-shot fine-tuned models can still get better results.

#### References

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- [12] [TBD] Is ChatGPT a General-Purpose Natural Language Processing Task Solver?

## Thank you!